

Substitute for form 1449A/PTO

**INFORMATION  
DISCLOSURE STATEMENT  
BY APPLICANT**

Sheet

1

of

5

**Complete If Known**

Application Number	09/287,579
Filing Date	April 6, 1999
First Name Inventor	Le Li, et al.
Group Art Unit	2871
Examiner Name	Z.Qi
Attorney Docket Number	105-067USA000

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	U.S. Patent Documents		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Intn'l Class / Sub Class
		Number	Kind Code (if known)			
ZQQ		6,072,549		Faris et al.	06/06/2000	G02F 1/1335
,		5,940,150		Faris	08/17/1999	G02F 1/1335
,		5,796,454		Ma	08/18/1998	G02F 1/1335
,		5,699,133		Furuta	12/16/1997	G02F /1
,		5,686,979		Weber et al.	11/11/1997	G02F 1/133
,		5,650,865		Smith	07/22/1997	G02B 5/32
,		5,437,811		Doane et al.	08/01/1995	C09K/19
,		5,418,631		Tedesco	05/23/1995	G02B 5/32
,		5,399,390		Akins	05/21/1995	G02F/1
,		5,408,187		Mackie	04/18/1995	G01R 31/02

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Documents		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Intr'l Class / Sub Class
		Number	Kind Code (if known)			
200		5,325,218		Willet et al.	06/28/1994	G02F 1/133
,		5,327,285		Faris	06/05/1994	H04N 13/00
,		5,221,982		Faris	06/22/1993	G02F 1/13
,		5,197,242		Baughman et al.	05/30/1993	E04C 2/54
,		5,193,015		Shanks	03/09/1993	G02F 1/133
,		5,152,111		Baughman et al.	10/06/1992	E04C 2/54
,		5,113,270		Ferguson	05/12/1992	G02F 1/133
,		5,015,086		Okaue et al.	05/14/1991	G02C/7
,		4,964,251		Baughman et al.	10/23/1990	G02F 1/133
,		4,902,112		Lowe	02/20/1990	G02B5/30
,		4,750,814		Suzuki	06/14/1988	G02F 1/133
,		4,749,261		McLaughlin et al.	06/07/1988	G02F/1
,		4,728,547		Vaz et al.	03/01/1988	B05D 3/06

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Documents		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Intr'l Class / Sub Class
		Number	Kind Code (if known)			
ZQQ		4,719,507		Bos	01/12/1988	H04N 13/00
;		4,663,083		Marks	05/05/1987	G02F 1/00
;		4,641,922		Jacob	02/10/1987	G02F/1
;		4,566,758		Bos	01/28/1986	G02F 1/13
;		4,475,031		Mockovciak, Jr.	10/02/1984	H01J/40
;		4,073,571		Grinberg et al.	02/14/1978	G02F 1/13
;		3,986,022		Hyatt	10/12/1976	G01J 1/32
;		3,711,181		Adams, Jr. et al.	01/16/1973	350/157
;		3,679,290		Adams et al.	07/25/1972	350/157

## PUBLICATIONS

Examiner Initials	Cite No.	Description
200	✓	VIDEO-COMPATIBLE SUBTRACTIVE COLOR PROJECTION WITH CHOLESTERIC LIQUID-CRYSTAL BA by Jurg Funfschilling, et. al., SID 96 DIGEST, 1996, p. 41-45
,	✓	CHROMOGENIC SWITCHABLE GLAZING: TOWARDS THE DEVELOPMENT OF THE SMART WINDOW by Carl M. Lampert, Window Innovation Conference, Toronto, Canada, 1995.
,	✓	CHOLESTERIC REFLECTORS WITH A COLOR PATTERN by Jurg Funfschilling, et. al., SID 94 DIGEST, 1994, 1994, p. 399-402
,	✓	HOLOGRAPHIC COLOR FILTERS FOR LCDs by J. BILES, SID 94 DIGEST, 1994, p. 403-406
,	✓	DESIGN ISSUES IN USING THIN-FILM OPTICAL INTERFERENCE FILTERS AS COLOR FILTERS F by S-F. Chen, et. al., SID 94 DIGEST, 1994, p. 411-416
,	✓	A Systems Approach to Color Filters for Flat-Panel Displays by J. Hunninghake, et. al., SID 94 DIGEST, 1994, p. 407-410
,	✓	OPTICAL SWITCHING TECHNOLOGY FOR GLAZINGS by Carl M. Lampert, SID Thin Solid Films, 236, 1993, p. 6-13
,	✓	POLARIZING COLOR FILTERS MADE FROM CHOLESTERIC LC SILICONES by Robert Maurer, et. al., SID 90 DIGEST, 1990, p. 110-113

PUBLICATIONS		
Examiner Initials	Cite No.	Description
<i>ZQQ</i>		PCT/US98/03688, 1998

EXAMINER

*Mike Q.*

DATE CONSIDERED

*5-29-01*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance not considered. Include copy of this form with next communication to applicant.

(INFORMATION DISCLOSURE STATEMENT – SECTION 9 PTO-1449)